

**INTERNATIONAL INSTITUTIONS AND COOPERATION**  
**POLI 7502:0001, FALL 2019**  
**W 2:30 – 5:20 PM, 177 SH**

**INSTRUCTOR:**

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Office Hours: Tuesday 2:00-5:00pm

**COURSE DESCRIPTION:**

Realists argue that the defining characteristic of the international system is anarchy or the lack of a centralized world government. Yet international politics is orderly and highly organized. The codified rules and regulations that create order in world politics, as well as the authority relationships that manage, monitor, and enforce these rules can be termed global governance. This includes a wide variety of arrangements, such as informal norms, ordering principles, treaties, international institutions and regimes, international organizations, and law. This course examines the sources of order and global governance in international relations theoretically and empirically, focusing on force, power, institutions, and norms. A variety of substantive areas of global governance are examined including international security, international law/legalization, international trade, and human rights.

**REQUIRED TEXTS (Order Online):**

Axelrod, Robert. 1984. *The Evolution of Cooperation*. Basic Books.  
Bull, Hedley. 2002. *The Anarchical Society: A Study of Order in World Politics, Third Edition*. London: Macmillan.  
Ikenberry, G. John. 2001. *After Victory: Institutions, Strategic Restraint, and the Rebuilding of Order After Major Wars*. Princeton University Press.  
Keohane, Robert O. 1984. *After Hegemony: Cooperation and Discord in the World Political Economy*. Princeton University Press.  
Lake, David A. 2009. *Hierarchy in International Relations*. Ithaca, NY: Cornell University Press.  
Wendt, Alexander. 1999. *Social Theory of International Politics*. New York: Cambridge University Press.

**COURSE REQUIREMENTS:**

Your final grade will be determined based on your performance on class participation (25%), weekly papers (25%), and a research paper (50%).

1) Class Participation (25%)

The quality of a graduate level seminar depends to a large extent on the efforts of the students. I expect that you will come to class each week prepared to discuss the required readings. Obviously it is impossible to participate in a seminar discussion if you are not in attendance. I expect no

absences in the course, and I encourage you to discuss any circumstances with me that will preclude you from attending class.

To prepare for class discussions, keep in mind the following points:

- a) What is the research question?
- b) What is the researcher's theoretical argument? What assumptions underlie this theory? How does the argument fit into the literature? What does it tell us that we don't know?
- c) Evaluation of the theory:
  - If the theory is tested, what consequences are tested, how are concepts measured, and what methods are used? Do these make sense?
  - Is there any evidence that supports the theory? Is there evidence that falsifies it? What might you expect to see that would make you think the theory might be 'wrong'? Does the author provide you with enough of a structure to say this? In other words, is the theory falsifiable?
- d) What conclusions does the researcher draw? Does the researcher fully examine the policy implications of the theory? What are the most significant research findings?
- e) To what degree do you think the researcher has answered his/her question? Is this a good example of research? Why or why not? What are the possibilities for related research? How can the research be extended or applied elsewhere?
- f) How do the selections we read this week fit together? How do they fit into the course as a whole? Are we seeing progress in this research area?

## 2) Weekly Papers (25%)

Throughout the semester, you will submit a total of 7 one-page critiques of the weekly readings. You can use single-spacing, one inch margins, and no smaller than 11 point font. If there is a book for the week's readings, your critique should focus on that. If there are several articles and book chapters assigned, pick at least two related readings and discuss those in your critique. You will be allowed to drop your lowest paper grade. I will have students sign up for writing weekly papers in week #2 of the class.

## 3) Research Paper (50%)

Choose a research question that falls in the topical areas covered in this class and write a research paper addressing this question. The final product should take the form of a conference paper or journal article. Your paper cannot reproduce (in whole or in part) any of your previous work in a substantive course so talk to me if this is an issue. The paper should be about 20-30 pages in length and be written in the professional style of the American Political Science Association. Your research paper should a) clearly identify your research question, b) review and synthesize the relevant literature, c) develop a theoretical argument and derive testable hypotheses, d) develop a research design to evaluate the hypotheses empirically, and e) present an empirical evaluation of the hypotheses (using either statistical analysis of existing data sets or qualitative analysis of a few cases). You may use whatever methodology you feel is most appropriate to the issue and which you feel qualified to implement. I will not be impressed by incompetent quantitative analysis, nor will I penalize competent historical or case-study approaches.

The paper will be due in several stages:

Wednesday, September 25<sup>th</sup>, Research Question (5%) (due in class): Submit a one-page typed description of your research question and explain how it fits into the general topical themes for the course. Provide an initial bibliography of at least 15 sources.

Friday, October 25<sup>th</sup>, Research Design (10%) (due via email by 5:00pm): Submit five to seven typed pages (with a bibliography) that identify your research question, review the literature relevant to your question, discuss the method of analysis you intend to employ, and describe any data or historical sources you will use to evaluate your hypotheses.

Friday, November 22<sup>nd</sup>, First Draft (15%) (due via email by 5:00pm): Submit the initial draft of your paper, with all key parts of the paper included (introduction, theory, research design, analysis, references). Each draft will be read by the instructor and two other students in the class. The instructor will assign the student discussants for each paper. The two papers each student will discuss will be distributed via email by Sunday, November 20<sup>th</sup>.

Tuesday, December 3<sup>rd</sup>, Reviews (20%) (due via email by 5:00pm): Each student will write a typed review commenting on two other students' papers in the class. The reviewers will be assigned "blindly", so that the authors do not know who reviewed their papers. The reviews should take the form of a journal review, where you make specific recommendations about how to improve the paper. More detailed instructions (including examples) will be distributed later. After students receive the reviews, I will set up an optional session for students to present their project briefly to other students and get feedback/help with any issues they are dealing with.

Wednesday, December 18<sup>th</sup>, Final Draft (50%) (due via email by midnight): Submit your final paper along with a memo responding to the reviewers' comments in the previous round. I will take into consideration how well you responded to others' criticisms when assigning a final grade. I will not accept any late papers under any circumstances! The final paper grade is based on the weighted component grades for each portion of the paper (research question, research design, first draft, reviews, and final draft).

## **CLASS SCHEDULE**

Most of the course readings are available electronically through Iowa's library resources. You can search for the article using <http://scholar.google.com>. I will try to provide electronic copies of book chapters on ICON.

## **SECTION I: COOPERATION & ORDER IN WORLD POLITICS**

### **Week #1 (August 28<sup>th</sup>): No Class, APSA Conference**

### **Week #2 (September 4<sup>th</sup>): Order in World Politics**

#### Required:

- Bull, Hedley. 2002. *The Anarchical Society: A Study of Order in World Politics, Third Edition*. London: Macmillan. Chapters 1-9
- Tang, Shipping. 2016. "Order: A Conceptual Analysis." *Chinese Political Science Review* 1(1): 30-46.
- Goh, Evelyn. 2019. "Contesting Hegemonic Order: China in East Asia." *Security Studies* 28(3): 614-644.

- Tang, Shipping. 2018. "China and the Future International Order(s)." *Ethics & International Affairs* 32(1): 31-43.

Recommended:

- Buzan, Barry. 1993. "From International System to International Society: Structural Realism and Regime Theory Meet the English School." *International Organization* 47(3): 327-52.
- Buzan, Barry. 2004. Chapter 1 of *From International to World Society? English School Theory and the Social Structure of Globalisation*. Cambridge University Press.
- Dunne, Tim. 1999. "A British School of International Relations." Pp. 395-424 in Jack Hayward, Brian Barry, and Archie Brown (eds.), *The British Study of Politics in the Twentieth Century*, Oxford: Oxford University Press.
- Finnemore, Martha. 2001. "Exporting the English School?" *Review of International Studies* 27(3): 509-513.
- Hurrell, Andrew. 2007. *On Global Order: Power, Values, and the Constitution of International Society*. Oxford, UK: Oxford University Press.
- Keene, Edward. 2002. *Beyond the Anarchical Society: Grotius, Colonialism, and Order in World Politics*. Cambridge, UK: Cambridge University Press.
- Linklater, Andrew and Hidemi Suganami. 2006. *The English School of International Relations: A Contemporary Assessment*. Cambridge University Press.
- Onuf, Nicholas Greenwood. 1979. "International Legal Order as an Idea." *The American Journal of International Law*, 73(2): 244-266.
- Waever, Ole. 1998. "The Sociology of a Not So International Discipline: American and European Developments in International Relations." *International Organization* 52(4): 687-727.
- Wrong, Dennis. 1994. *The Problem of Order: What Unites and Divides Society*. Free Press.

**Week #3 (September 11<sup>th</sup>): The Logic of Collective Action & the Demand for Cooperation**

Required:

- Olson, Mancur. 1965. *The Logic of Collective Action: Public Goods and the Theory of Groups*. Cambridge: Harvard University Press. Chapters 1-2
- Ostrom, Elinor. 1998. "A Behavioral Approach to the Rational Choice Theory of Collective Action: Presidential Address." *American Political Science Review* 92(1): 1-22.
- Oye, Kenneth. 1985. "Explaining Cooperation under Anarchy: Hypotheses and Strategies." *World Politics* 38(1): 1-24.
- Conybeare, John A.C. 1980. "International Organization and the Theory of Property Rights." *International Organization*, 34: 307-334.
- March, James G. and Olsen, Johan P. 1998. "The Institutional Dynamics of International Political Orders." *International Organization* 52(4): 943-969.
- Abbott, Kenneth W. and Duncan Snidal. 1998. "Why States Act through Formal International Organizations." *Journal of Conflict Resolution*, 42(1): 3-32.
- Shepsle, Kenneth A. and Mark S. Bonchek. 1997. *Analyzing Politics: Rationality, Behavior, and Institutions*. New York: W.W. Norton and Company. Chapters 8-10

Recommended:

- Axelrod, Robert and Robert O. Keohane. 1985. "Achieving Cooperating Under Anarchy: Strategies and Institutions." *World Politics*, 38(1): 226-254.
- Coase, R.H. 1974. "The Lighthouse in Economics." *The Journal of Law and Economics* 17: 357-376.
- Hardin, Garrett. 1968. "The Tragedy of the Commons." *Science* 162: 1243-1248.

North, Douglass. 1991. "Institutions." *Journal of Economic Perspectives* 5(1): 97-112.  
Taylor, Michael. 1987. *The Possibility of Cooperation*. New York: Cambridge University Press.  
Wendt, Alexander. 1994. "Collective Identity Formation and the International State." *American Political Science Review*, 88(2): 384-396.

#### **Week #4 (September 18<sup>th</sup>): The Evolution of Cooperation**

##### Required:

- Axelrod, Robert. 1984. *The Evolution of Cooperation*. Basic Books. Chapters 1-4, 6-7
- Kinne, Brandon J. 2013. "Network Dynamics and the Evolution of Cooperation." *American Political Science Review* 107(4): 766-785.
- Keohane, Robert O. 1984. *After Hegemony: Cooperation and Discord in the World Political Economy*. Princeton University Press. Chapters 1, 3-6, 10
- Archarya, Amitav. 2017. "After Liberal Hegemony: The Advent of a Multiplex World Order." *Ethics & International Affairs* 32(1): 271-285.

##### Recommended:

Axelrod, Robert and Robert O. Keohane. 1985. "Achieving Cooperating Under Anarchy: Strategies and Institutions." *World Politics*, 38(1): 226-254.  
Morrow, James D. 1994. "Modeling the Forms of International Cooperation: Distribution versus Information." *International Organization*, 48(3):387-423.

## **SECTION II: SOURCES OF ORDER IN WORLD POLITICS**

#### **Week #5 (September 25<sup>th</sup>): Hegemony and Hierarchy**

##### Required:

- Gilpin, Robert. 1981. *War and Change in World Politics*. Cambridge: Cambridge University Press. Chapters 1, 4-5
- Lake, David A. 2009. *Hierarchy in International Relations*. Ithaca, NY: Cornell University Press.

##### Recommended:

Braumoeller, Bear F. 2012. *The Great Powers and the International System*. Cambridge, UK: Cambridge University Press.  
Carr, Edward Hallett. 1939. *The Twenty Years' Crisis, 1919-1939*. New York: HarperCollins.  
Jacobson, Harold K., William M. Reisinger, and Todd Mathers. 1986. "National Entanglements in International Governmental Organizations." *American Political Science Review*, 80(1): 141-159.  
Kadera, Kelly M. 2001. *The Power-Conflict Story: A Dynamic Model of Interstate Rivalry*. Ann Arbor, MI: University of Michigan Press.  
Kindleberger, Charles P. 1986. "International Public Goods without International Government." *The American Economic Review* 76: 1-13.  
Lake, David A. 1993. "Leadership, Hegemony, and the International Economy: Naked Emperor or Tattered Monarch with Potential?" *International Studies Quarterly* 37: 459-489.  
Mearsheimer, John J. 2001. *The Tragedy of Great Power Politics*. New York: Norton.  
Modelski, George. 1987. *Long Cycles in World Politics*. Seattle: Univ. of Washington Press.  
Morgenthau, Hans. 1967. *Politics Among Nations: The Struggle for Power and Peace*. New York: Knopf.  
Organski, A.F.K. 1968. *World Politics, 2<sup>nd</sup> edition*. New York: Knopf.

- Organski, A.F.K. and Jacek Kugler. 1980. *The War Ledger*. Chicago: University of Chicago Press.
- Thompson, Alexander. 2006. "Coercion through IOs: The Security Council and the Logic of Information Transmission." *International Organization* 60: 1-34.
- Waltz, Kenneth. 1979. *Theory of International Politics*. New York: McGraw-Hill.

### **Week #6 (October 2<sup>nd</sup>): Hierarchy/Institutions I**

#### Required:

- Ikenberry, G. John. 2001. *After Victory: Institutions, Strategic Restraint, and the Rebuilding of Order After Major Wars*. Princeton University Press. Chapters 1-6
- Ikenberry, G. John. 2015. "Between the Eagle and the Dragon: America, China, and Middle State Strategies in East Asia." *Political Science Quarterly*, Vol. 131(1): 9-43.
- Bially Mattern, Janice, and Ayşe Zarakol. 2016. "Hierarchies in World Politics." *International Organization* 70(3): 623-654.
- Gunitsky, Seva. 2014. "From Shocks to Waves: Hegemonic Transitions and Democratic Transitions in the Twentieth Century." *International Organization* 68(3): 561-597.

#### Recommended:

- Goddard, Stacie. 2018. "Embedded Revisionism: Networks, Institutions, and Challenges to World Order." *International Organization* 72(4): 763-797.
- Gruber, Lloyd. 2000. *Ruling the World: Power Politics and the Rise of Supranational Institutions*. Princeton, N.J.: Princeton University Press.
- Nieman, Mark David. 2016. "The Return on Social Bond: Social Hierarchy and International Conflict." *Journal of Peace Research* 53(5): 665-679.
- Security Studies* 2019, Issue 3, Dynamics of Hegemonic Orders, edited by G. John Ikenberry and Daniel H. Nexon

### **Week #7 (October 9<sup>th</sup>): Institutions II**

#### Required:

- Simmons, Beth A. and Lisa L. Martin. 2002. "International Organizations and Institutions". Pages 192-211 in Carlsnaes, Walter, Thomas Risse and Beth A. Simmons (eds.), *Handbook of International Relations*. Thousand Oaks, CA: Sage.
- Koremenos, Barbara, Charles Lipson, and Duncan Snidal. 2001. "The Rational Design of International Institutions." *International Organization* 55(4): 761-799.
- Duffield, John S. 2003. "The Limits of Rational Design." *International Organization* 57(2): 411-430.
- Sommerer, Thomas and Jonas Tallberg. 2019. "Diffusion Across International Organizations: Connectivity and Convergence." *International Organization* 73(2): 399-433.
- Barnett, Michael N. and Martha Finnemore. 1999. "The Politics, Power, and Pathologies of International Organizations." *International Organization* 53(4): 699-732.
- Boehmer, Charles, Erik Gartzke, and Timothy Nordstrom. 2004. "Do Intergovernmental Organizations Promote Peace?" *World Politics* 57(1): 1-38.
- Anderson, Christopher C., Sara McLaughlin Mitchell, and Emily Schilling. 2016. "Kantian Dynamics Revisited: Time Varying Analyses of Dyadic IGO-Conflict Relationships." *International Interactions* 42(4): 644-676.

Recommended:

- Chapman, Terrence. 2009. "Audience Beliefs and International Organization Legitimacy." *International Organization* 63(4): 733-764.
- Claude, Inis. 1966. "Collective Legitimization as a Political Function of the United Nations." *International Organization*, 20(3): 367-379.
- Fang, Songying. 2008. "The Informational Role of International Institutions and Domestic Politics." *American Journal of Political Science* 52(2): 304-321.
- Gilligan, Michael J. 2004. "Is There a Broader-Deeper Tradeoff in Multilateral Agreements?" *International Organization* 58(3): 459-484.
- Keohane, Robert O. and Lisa L. Martin. 1995. "The Promise of Institutional Theory." *International Security* 20(1): 39-51.
- Koremenos, Barbara. 2001. "Loosening the Ties that Bind: A Learning Model of Agreement Flexibility." *International Organization* 55(2): 289-325.
- Koremenos, Barbara and Duncan Snidal. 2003. "Moving Forward, One Step at a Time." *International Organization*, 57(2): 431-444.
- Mansfield, Edward D. and Jon Pevehouse. 2006. "Democratization and International Organizations." *International Organization* 60(1): 137-167.
- Martin, Lisa L. and Beth A. Simmons. 1998. "Theories and Empirical Studies of International Institutions." *International Organization* 52(4): 729-757.
- Mearsheimer, John J. 1994-95. "The False Promise of International Institutions." *International Security* 19(3): 5-49.
- Mitchell, Ronald B. 1998. "Sources of Transparency: Information Systems in International Regimes." *International Studies Quarterly* 42(1): 109-130.
- Mitchell, Ronald B. and Patricia M. Keilbach. 2001. "Situation Structure and Institutional Design: Reciprocity, Coercion, and Exchange." *International Organization* 55: 891-917.
- Pevehouse, Jon. 2003. "Democratization, Credible Commitments, and Joining International Organizations." In Daniel W. Drezner (ed.), *Locating the Proper Authorities: The Interaction of International and Domestic Institutions*. Ann Arbor, MI: University of Michigan Press.
- Rochester, J. Martin. 1986. "The Rise and Fall of International Organization as a Field of Study." *International Organization* 40(4): 777-813.
- Russett, Bruce and John Oneal. 2001. *Triangulating Peace: Democracy, Interdependence, and International Organizations*. New York: W.W. Norton.
- Shannon, Megan, Daniel Morey, and Frederick J. Boehmke. 2010. "The Influence of International Organizations on Militarized Dispute Initiation and Duration." *International Studies Quarterly* 54(4): 1123-1141.
- Vabulus, Felicity and Duncan Snidal. 2013. "Organization without Delegation: Informal Intergovernmental Organizations (IIGOs) and the Spectrum of Intergovernmental Arrangements." *The Review of International Organizations* 8:193-220.

**Week #8 (October 16<sup>th</sup>): Norms I**

Required:

- Wendt, Alexander. 1999. *Social Theory of International Politics*. Cambridge University Press. Chapters 1, 3-4, 6-7
- Fearon, James D. and Alexander Wendt. 2002. "Rationalism v. Constructivism: A Skeptical View." Pp. 52-72 in Walter Carlsnaes, Thomas Risse, and Beth A.

Simmons (eds.), *Handbook of International Relations*. Thousand Oaks, CA: Sage Publications.

Recommended:

- Haas, Peter M. 1989. "Do Regimes Matter? Epistemic Communities and Mediterranean Pollution Control." *International Organization* 43(3): 377-404.
- Johnston, Alastair Ian. 2001. "Treating International Institutions as Social Environments." *International Studies Quarterly* 45(4): 487-515,
- Ostrom, Elinor. 1990. *Governing the Commons: The Evolution of Institutions for Collective Action*. New York: Cambridge University Press.
- Meyer, John W. 2010. "World Society, Institutional Theories, and the Actor." *Annual Review of Sociology* 36:1-20.
- Wendt, Alexander. 1992. "Anarchy is What States Make of It: The Social Construction of Power Politics." *International Organization* 46(2): 391-425.

**Week #9 (October 23<sup>rd</sup>): Norms II**

Required:

- Finnemore, Martha. 1993. "International Organizations as Teachers of Norms: The United Nations Educational, Scientific, and Cultural Organization and Science Policy." *International Organization* 47: 565-597.
- Katzenstein, Peter J. (ed). 1996. *The Culture of National Security: Norms and Identity in World Politics*, New York: Columbia University Press. Chapters 2, 4, 10-12
- Finnemore, Martha and Kathryn Sikkink. 1998. "International Norm Dynamics and Political Change." *International Organization* 52(4): 887-917.
- Bearce, David H. and Stacy Bondanella. 2007. "Intergovernmental Organizations, Socialization, and Member-State Interest Convergence." *International Organization* 61(4): 703-733.
- Duque, Marina G. 2018. "Recognizing International Status: A Relational Approach." *International Studies Quarterly* 62(3): 577-592.

Recommended:

- Axelrod, Robert. 1986. "An Evolutionary Approach to Norms." *American Political Science Review*, 80: 1095-1111.
- Brechin, Steven R. and Gayl D. Ness. 2013. "Looking Back at the Gap: International Organizations as Organizations Twenty-Five Years Later." *Journal of International Organizations*.
- Busby, Joshua William. 2007. Bono Made Jesse Helms Cry: Jubilee 2000, Debt Relief, and Moral Action in International Politics. *International Studies Quarterly* 51 (2): 247-275.
- Hooghe, Liesbet. 2005. "Several Roads Lead to International Norms, but Few via International Socialization: A Case Study of the European Commission." *International Organization* 59: 861-898.
- Keck, Margaret E. and Kathryn Sikkink. 1998. *Activists Beyond Borders: Advocacy Networks in International Politics*. Ithaca, NY: Cornell University Press.
- Kelley, Judith. 2008. "Assessing the Complex Evolution of Norms: The Rise of International Election Monitoring." *International Organization* 62(2): 221-255.
- Klotz, Audie. 1995. "Norms Reconstituting Interests: Global Racial Equality and US Sanctions against South Africa." *International Organization* 49(3): 451-478.



- Mitchell, Sara McLaughlin. 2002. "A Kantian System? Democracy and Third Party Conflict Resolution." *American Journal of Political Science* 46(4): 749-759.
- Morrow, James D. 1994. "Modeling the Forms of International Cooperation: Distribution versus Information." *International Organization* 48(3):387-423.
- Meyer, John W., John Boli, George M. Thomas, and Francisco O. Ramirez. 1997. "World Society and the Nation-State." *American Journal of Sociology* 103(1): 144-181.
- Ostrom, Elinor. 2014. "Collective Action and the Evolution of Social Norms." *Journal of Natural Resources Policy Research* 6(4): 235-252.
- Schimmelfennig, Frank. 2001. The Community Trap: Liberal Norms, Rhetorical Action, and the Eastern Enlargement of the European Union. *International Organization* 55 (1): 47-80.
- Taninchev, Stacy Bondanella, 2015. "Intergovernmental Organizations, Interaction, and Member State Interest Convergence." *International Interactions* 41(1): 133-157.
- Tannenwald, Nina. 2007. *The Nuclear Taboo: The United States and the Non-Use of Nuclear Weapons since 1945*. Cambridge, UK: Cambridge University Press.
- Towns, Ann E. 2010. *Women and States: Norms and Hierarchies in International Society*. Cambridge, UK: Cambridge University Press.

### **Week #10 (October 30<sup>th</sup>): Bargaining and Treaty Compliance**

#### Required:

- Powell, Robert. 2002. "Bargaining Theory and International Conflict." *Annual Review of Political Science* 5: 1-30.
- Fearon, James D. 1998. "Bargaining, Enforcement, and International Cooperation." *International Organization* 52(2): 269-305.
- Hurd, Ian. 1999. "Legitimacy and Authority in International Politics." *International Organization* 53(2): 379-408.
- Chayes, Abram and Antonia Handler Chayes. 1993. "On Compliance." *International Organization* 47(2): 175-205.
- Downs, George W., David M. Rocke, and Peter N. Barsoom. 1996. "Is the Good News About Compliance Good News About Cooperation?" *International Organization* 50(3): 379-406.
- Simmons, Beth A. 1998. "Compliance with International Agreements." *Annual Review of Political Science* 1: 75-93.
- von Stein, Jana. 2005. "Do Treaties Constrain or Screen? Selection Bias and Treaty Compliance." *American Political Science Review* 99(4): 611-622.
- Lupu, Yonatan. 2013. "The Informative Power of Treaty Commitment: Using the Spatial Model to Address Selection Effects." *American Journal of Political Science* 57(4): 912-925.

#### Recommended:

- Cowhey, Peter F. 1993. "Domestic Institutions and the Credibility of International Commitments: Japan and the United States." *International Organization* 47(2): 299-326.
- Fearon, James D. 1995. "Rationalist Explanations for War." *International Organization* 49(3): 379-414.
- Dixon, Jennifer M. 2017. "Rhetorical Adaptation and Resistance to International Norms." *Perspectives on Politics* 15(1): 83-99.
- Gaubatz, Kurt Taylor. 1996. "Democratic States and Commitment in International Relations." *International Organization* 50(1): 109-139.

- Kegley, Charles W., Jr. and Gregory A. Raymond. 1990. *When Trust Breaks Down: Alliance Norms and World Politics*. Columbia, SC: University of South Carolina Press.
- Krasner, Stephen. 1991. "Global Communications and National Power: Life on the Pareto Frontier." *World Politics* 43:336-366.
- Leeds, Brett Ashley. 1999. "Domestic Political Institutions, Credible Commitments, and International Cooperation." *American Journal of Political Science* 43(4): 979-1002.
- McGillivray, Fiona and Alastair Smith. 2000. "Trust and Cooperation through Agent-Specific Punishments." *International Organization* 54(4): 809-824.
- Shannon, Vaughn P. 2000. "Norms are what states make of them: The political psychology of norm violation." *International Studies Quarterly* 44(2): 293-316.
- Slantchev, Branislav L. 2003. "The Power to Hurt: Costly Conflict with Completely Informed States." *American Political Science Review* 47(1): 123-135.
- Talberg, Jonas. 2002. "Paths to Compliance: Enforcement, Management, and the European Union." *International Organization* 56(3): 609-643.

### SECTION III: TOPICS IN INSTITUTIONS & COOPERATION

#### Week #11 (November 6<sup>th</sup>): Security Institutions

##### Required:

##### *Alliances*

- Leeds, Brett Ashley. 2003. "Do Alliances Deter Aggression? The Influence of Military Alliances on the Initiation of Militarized Interstate Disputes." *American Journal of Political Science* 47(3): 427-439.
- Crescenzi, Mark J.C., Jacob D. Kathman, Katja B. Kleinberg, and Reed M. Wood. 2012. "Reliability, Reputation, and Alliance Formation." *International Studies Quarterly* 56(2): 259-274.
- Mattes, Michaela. 2012. "Reputation, Symmetry, and Alliance Design." *International Organization* 66(4): 679-707.

##### *Laws of Warfare*

- Morrow, James D. 2007. "When Do States Follow the Laws of War?" *American Political Science Review* 101(3): 559-572.
- Fazal, Tanisha M. 2013. "The Demise of Peace Treaties in Interstate Wars." *International Organization* 67(4): 695-724.

##### *UNSC/NATO*

- Voeten, Erik. 2005. "The Political Origins of the UN Security Council's Ability to Legitimize the Use of Force." *International Organization* 59(3): 527-557.
- Gheciu, Alexandra. 2005. "Security Institutions as Agents of Socialization? NATO and the 'New Europe'." *International Organization* 59(4): 973-1012.

##### Recommended:

- Fang, Songying, Jesse Johnson, and Brett Ashley Leeds. 2014. "To Concede or to Resist? The Restraining Effect of Military Alliances." *International Organization* 68(4): 775-809.
- Fazal, Tanisha M. 2018. *Wars of Law: Unintended Consequences in the Regulation of Armed Conflict*. Ithaca, NY: Cornell University Press.
- Fortna, Virginia Page. 2003. "Scraps of Paper? Agreements and the Durability of Peace." *International Organization* 57: 337-372.

- Gartzke, Erik and Kristian Skrede Gleditsch. 2004. "Why Democracies May Be Less Reliable Allies." *American Journal of Political Science* 48(4): 775-595.
- Kinne, Brandon. 2018. "Defense Cooperation Agreements and the Emergence of a Global Security Network." *International Organization* 72(4): 799-837.
- Leeds, Brett Ashley. 2003. "Alliance Reliability in Times of War: Explaining State Decisions to Violate Treaties." *International Organization* 57: 801-827.
- Leeds, Brett Ashley, Michaela Mattes, and Jeremy S. Vogel. 2009. "Interests, Institutions, and the Reliability of International Commitments." *American Journal of Political Science* 53(2): 461-476.
- Mattes, Michaela. 2012. "Democratic Reliability, Precommitment of Successor Governments, and the Choice of Alliance Commitment." *International Organization* 66(1): 153-172.
- Morrow, James D. 2000. "Alliances: Why Write Them Down?" *Annual Review of Political Science* 3: 63-83.
- Poast, Paul. 2012. "Does Issue Linkage Work? Evidence from European Alliance Negotiations, 1860-1945." *International Organization* 66(2): 277-310.
- Prorok, Alyssa K. and Benjamin J. Appel. 2013. "Compliance with International Humanitarian Law: Democratic Third Parties and Civilian Targeting in Interstate War." *Journal of Conflict Resolution* 58(4): 713-740.

### **Week #12 (November 13<sup>th</sup>): Legalization, Judicialization, and International Law**

#### Required:

- Kahler, Miles. 2000. "The Causes and Consequences of Legalization." *International Organization*, 54(3): 661-683.
- Abbott, Kenneth W. and Duncan Snidal. 2000. "Hard and Soft Law in International Governance." *International Organization* 54(3): 421-456.
- Goldsmith, Jack L. and Eric A. Posner. 2005. *The Limits of International Law*. Oxford: Oxford University Press. Chapters 1-3, 6
- Kelley, Judith. 2007. "Who Keeps International Commitments and Why? The International Criminal Court and Bilateral Nonsurrender Agreements." *American Political Science Review* 101(3): 573-589.
- Blake, Daniel J. 2013. "Thinking Ahead: Government Time Horizons and the Legalization of International Investment Agreements." *International Organization* 67(4): 797-827.
- Alter, Karen J., Emilie M. Hafner-Burton, and Laurence R. Helfer. 2019. "Theorizing the Judicialization of International Relations." *International Studies Quarterly* 63(3): 449-463.

#### Recommended:

Special issue of *International Organization* on legalization, 54(3)

Alter, Karen J. 1998. "Who are the 'Masters of the Treaty'? European Governments and the European Court of Justice." *International Organization* 52: 121-147.

Chaudoin, Stephen. 2014. "Promises or Policies? An Experimental Analysis of International Agreements and Audience Reactions." *International Organization* 68(1): 235-256.

Chayes, Abram and Antonia Handler Chayes. 1998. *The New Sovereignty*. Cambridge: Harvard University Press.

Garrett, Geoffrey, R., Daniel Keleman, and Heiner Schulz. 1998. "The European Court of Justice, National Governments, and Legal Integration in the European Union."

- International Organization* 52: 149-176.
- Guzman, Andrew T. 2008. *How International Law Works*. New York: Oxford University Press.
- Jo, Hyeran. 2015. *Compliant Rebels*. Cambridge, UK: Cambridge University Press.
- Keohane, Robert. 1997. "International Relations and International Law: Two Optics." *Harvard International Law Journal* 38(2): 487-502.
- Mattli, Walter and Anne-Marie Slaughter. 1998. "Revisiting the European Court of Justice." *International Organization* 52: 177-209.
- Onuf, Nicholas Greenwood. 1979. "International Legal Order as an Idea." *The American Journal of International Law*, 73(2): 244-266.
- Raustiala, Kal. 2005. "Form and Substance in International Agreements." *American Journal of International Law* 99(3): 581-614.
- Slaughter, Anne-Marie, Andrew S. Tulumello, and Stepan Wood. 1998. "International Law and International Relations Theory: A New Generation of Interdisciplinary Scholarship." *American Journal of International Law* 92(3): 367-397.
- Stone Sweet, Alec and Thomas L. Brunell. 2013. "Trustee Courts and the Judicialization of International Regimes." *Journal of Law and Courts* 1(1): 61-88.
- Wallace, Geoffrey P.R. 2013. "International Law and Public Attitudes Toward Torture: An Experimental Study." *International Organization* 67(1): 105-140.
- Zangl, Bernhard. 2008. "Judicialization Matters! A Comparison of Dispute Settlement Under GATT and the WTO." *International Studies Quarterly* 52(4): 825-854.

### **Week #13 (November 20<sup>th</sup>): International Institutions and Conflict Management**

#### Required:

- Reinhardt, Eric. 2001. "Adjudication without Enforcement in GATT Disputes." *Journal of Conflict Resolution* 45(2): 174-195.
- Mitchell, Sara McLaughlin and Paul R. Hensel. 2007. "International Institutions and Compliance with Agreements." *American Journal of Political Science* 51(4): 721-737.
- Allee, Todd and Paul K. Huth. 2006. "Legitimizing Dispute Settlement: International Legal Rulings as Domestic Political Cover." *American Political Science Review* 100(2): 219-234.
- Wiegand, Krista E. and Emilia Justyna Powell. 2010. "Past Experience, Quest for the Best Forum, and Peaceful Attempts to Resolve Territorial Disputes." *Journal of Conflict Resolution* 55(1): 33-59.
- Hansen, Holley, Sara McLaughlin Mitchell, and Stephen C. Nemeth. "IO Mediation of Interstate Conflicts: Moving Beyond the Global vs. Regional Dichotomy." *Journal of Conflict Resolution* 52(2): 295-325.
- Fortna, Virginia Page. 2003. "Inside and Out: Peacekeeping and the Duration of Peace after Civil and Interstate Wars." *International Studies Review* 5(4): 97-114.
- Carter, David E., Rachel L. Wellhausen, and Paul K. Huth. 2019. "International Law, Territorial Disputes, and Foreign Direct Investment." *International Studies Quarterly* 63(1): 58-71.

#### Recommended:

- Davis, Christina L. and Julia C. Morse. 2018. "Protecting Trade by Legalizing Political Disputes: Why Countries Bring Cases to the International Court of Justice." *International Studies Quarterly* 62(4): 709-722.

- Dorussen, Han and Hugh Ward. 2008. "Intergovernmental Organizations and the Kantian Peace: A Network Perspective." *Journal of Conflict Resolution* 52(2): 189-212.
- Doyle, Michael W. and Nicholas Sambanis. 2000. "International Peacebuilding: A Theoretical and Quantitative Analysis." *American Political Science Review* 94(4): 779-801.
- Fausett, Elizabeth and Thomas J. Volgy. 2010. "Intergovernmental Organizations (IGOs) and Interstate Conflict: Parsing Out IGO Effects for Alternative Dimensions of Conflict in Postcommunist Space." *International Studies Quarterly* 54(1): 79-101.
- Fischer, Dana D. 1982. "Decisions to Use the International Court of Justice: Four Recent Cases." *International Studies Quarterly* 26(2): 251-277.
- Gent, Stephen E. and Megan Shannon. 2010. "The Effectiveness of International Arbitration and Adjudication: Getting into a Bind." *Journal of Politics* 72(2): 366-80.
- Hultman, Lisa, Jacob Kathman, and Megan Shannon. 2013. "United Nations Peacekeeping and Civilian Protection in Civil War." *American Journal of Political Science* 57(4): 875-891.
- Huth, Paul K., Sarah E. Croco, and Benjamin J. Appel. 2011. "Does International Law Promote the Peaceful Settlement of International Disputes? Evidence from the Study of Territorial Conflicts Since 1945." *American Political Science Review* 105(2): 415-36.
- Huth, Paul K., Sarah E. Croco, and Benjamin J. Appel. 2013. "Bringing Law to the Table: Legal Claims, Focal Points, and the Settlement of Territorial Disputes Since 1945." *American Journal of Political Science* 57(1): 90-103.
- Kinne, Brandon J. 2013. "IGO Membership, Network Convergence, and Credible Signaling in Militarized Disputes." *Journal of Peace Research* 50(6): 659-676.
- Lee, Hoon and Sara McLaughlin Mitchell. 2012. "Foreign Direct Investment and Territorial Disputes." *Journal of Conflict Resolution* 56(4): 675-703.
- Lundgren, Magnus. 2015. "Conflict Management Capabilities of Peace-Brokering International Organizations, 1945-2010: A New Dataset." *Conflict Management and Peace Science* Online first.
- Meyers, B. David. 1974. "Intraregional Conflict Management by the Organization of African Unity." *International Organization* 28(3): 345-373.
- Mitchell, Sara McLaughlin and Andrew P. Owsiak. "Judicialization of the Sea: Bargaining under the UNCLOS Regime." Working paper.
- Pevehouse, Jon, Timothy Nordstrom, and Kevin Warnke. 2004. "The Correlates of War 2 International Governmental Organizations Data Version 2.0." *Conflict Management and Peace Science* 21(2): 101-119.
- Pevehouse, Jon and Bruce Russett. 2006. "Democratic International Governmental Organizations Promote Peace." *International Organization* 60: 969-1000.

**Week #14 (November 27<sup>th</sup>): No Class, Thanksgiving break**

**Week #15 (December 4<sup>th</sup>): International Economic Institutions**

Required:

- Milgrom, Paul, Douglass North and Barry Weingast. 1990. "The Role of Institutions in the Revival of Trade: The Law Merchant, Private Judges, and the Champagne Fairs." *Economics and Politics* 2: 1-23.
- Simmons, Beth A. 2000. "International Law and State Behavior: Commitment and Compliance in International Monetary Affairs." *American Political Science Review*, 94(4): 819-835.

- Drezner, Daniel W. 2000. “Bargaining, Enforcement, and Multilateral Sanctions: When is Cooperation Counterproductive?” *International Organization* 54(1): 73-102.
- Smith, James McCall. 2000. “The Politics of Dispute Settlement Design: Explaining Legalism in Regional Trade Pacts.” *International Organization* 54(1): 137-180.
- Mansfield, Edward D. and Jon C. Pevehouse. 2000. “Trade Blocs, Trade Flows, and International Conflict.” *International Organization* 54(4): 775–808.
- Goldstein, Judith L., Douglas Rivers, and Michael Tomz. 2007. “Institutions in International Relations: Understanding the Effects of the GATT and WTO on World Trade.” *International Organization*, 61: 37-67.
- Allee, Todd and Clint Peinhardt. 2011. “Contingent Credibility: The Impact of Investment Treaty Violations on Foreign Direct Investment.” *International Organization* 65(3): 401-432.

Recommended:

- Blaydes, Lisa. 2004. “Rewarding Impatience: A Bargaining and Enforcement Model of OPEC.” *International Organization* 58(2): 213-237.
- Busch, Marc and Eric Reinhardt. 2003. “Developing Countries and GATT/WTO Dispute Settlement.” *Journal of World Trade* 37(4): 719-735.
- Carnegie, Allison. 2014. “States Held Hostage: Political Hold-Up Problems and the Effects of International Institutions.” *American Political Science Review* 108(1): 54-70.
- Chaudoin, Stephen. 2014. “Audience Features and the Strategic Timing of Trade Disputes.” *International Organization* 68(4): 877-911.
- Colgan, Jeff D. 2014. “The Emperor Has No Clothes: The Limits of OPEC in the Global Oil Market.” *International Organization* 68(3): 599-632.
- Davis, Christina L. 2012. *Why Adjudicate? Enforcing Trade Rules in the WTO*. Princeton, NJ: Princeton University Press.
- Goldsmith, Jack L. and Eric A. Posner. 2005. *The Limits of International Law*. Oxford: Oxford University Press. Chapter 5
- Grieco, Joseph M., Christopher F. Gelpi, and T. Camber Warren. 2009. “When Preferences and Commitments Collide: The Effect of Relative Partisan Shifts on International Treaty Compliance.” *International Organization* 63 (2): 341-355.
- Guzman, Andrew. 2004. “Global Governance and the WTO.” *Harvard International Law Journal* 45: 303-351.
- Haftel, Yoram Z. and Alexander Thompson. 2006. “The Independence of International Organizations: Concept and Applications.” *Journal of Conflict Resolution* 50(2): 253-275.
- Karreth, Johannes. 2018. “The Economic Leverage of International Organizations in Interstate Disputes.” *International Interactions* 44(3): 463-490.
- Mansfield, Edward D., Helen V. Milner, and B. Peter Rosendorff. 2002. “Why Democracies Cooperate More: Electoral Control and International Trade Agreements.” *International Organization* 56(3): 477-513.
- Martin, Lisa L. 1993. “Credibility, Costs, and Institutions: Cooperation on Economic Sanctions.” *World Politics* 45(3): 406-432.
- Martin, Lisa L. 1992. “Institutions and Cooperation: Sanctions during the Falkland Islands Conflict.” *International Security* 16(4): 143-177.
- Rosendorff, B. Peter and Helen V. Milner. 2001. “The Optimal Design of International Trade Institutions: Uncertainty and Escape.” *International Organization* 55(4): 829-857.

- Simmons, Beth A. and Andrew Guzman. 2002. "To Settle or Empanel? An Empirical Analysis of Litigation and Settlement at the WTO." *Journal of Legal Studies* 31(1): S205-S235.
- Steinberg, Richard H. 2002. "In the Shadow of Law or Power? Consensus-Based Bargaining and Outcomes in the GATT/WTO." *International Organization* 56(2): 339-374.
- Vreeland, James. 2003. *The IMF and Economic Development*. New York: Cambridge University Press.
- Yarbrough, Beth V. and Robert M. Yarbrough. 1992. *Cooperation and Governance in International Trade*. Princeton, NJ: Princeton University Press.

## **Week #16 (December 11<sup>th</sup>): Human Rights Institutions**

### Required:

- Moravcsik, Andrew. 2000. "The Origins of Human Rights Regimes: Democratic Delegation in Postwar Europe." *International Organization* 54(2): 217-252.
- Hathaway, Oona A. 2002. "Do Human Rights Treaties Make a Difference?" *The Yale Law Journal* 111(8): 1935-2042.
- Hafner-Burton, Emilie M. 2005. "Trading Human Rights: How Preferential Trade Agreements Influence Government Repression." *International Organization* 59: 593-629.
- Vreeland, James Raymond. 2008. "Political Institutions and Human Rights: Why Dictatorships Enter into the United Nations Convention Against Torture." *International Organization* 62(1): 65-101.
- Greenhill, Brian. 2010. "The Company You Keep: International Socialization and the Diffusion of Human Rights Norms." *International Studies Quarterly* 54(1): 127-145.
- Jo, Hyeran and Beth A. Simmons. 2016. "Can the International Criminal Court Deter Atrocity?" *International Organization* 70(3): 443-475.

### Recommended:

- Búzás, Zoltan. 2018. "Is the Good News About Law Compliance Good News About Norm Compliance? The Case of Racial Equality." *International Organization* 72(2): 351-385.
- Donnelly, Jack. 1986. "International Human Rights: A Regime Analysis." *International Organization* 40(3): 599-642.
- Goldsmith, Jack L. and Eric A. Posner. 2005. *The Limits of International Law*. Oxford: Oxford University Press. Chapter 4
- Goodman, Ryan and Derek Jinks. 2003. "Measuring the Effects of Human Rights Treaties." *European Journal of International Law* 14(1): 171-183.
- Hafner-Burton, Emilie, Laurence R. Helfer, and Christopher J. Fariss. 2011. "Emergency and Escape: Explaining Derogations from Human Rights Treaties." *International Organization* 65(4): 673-707.
- Hafner-Burton, Emilie M. 2008. "Sticks and Stones: Naming and Shaming the Human Rights Enforcement Problem." *International Organization* 62(4): 689-716.
- Hafner-Burton, Emilie M. and Kiyoteru Tsutsui. 2005. "Human Rights in a Globalizing World: The Paradox of Empty Promises." *The American Journal of Sociology* 110(5): 1373-1411.
- Hathaway, Oona A. 2002. "The Cost of Commitment." *Stanford Law Review* 55: 1821-1862.
- Keith, Linda Camp. 1999. "The United Nations International Covenant on Civil and Political Rights: Does it Make a Difference in Human Rights Behavior?" *Journal of Peace Research* 36: 95-118.



- Linou, Katerina and Tom Pegram. 2016. "The Language of Compromise in International Agreements." *International Organization* 70(3): 587-621.
- Mitchell, Sara McLaughlin and Emilia Justyna Powell. 2011. *Domestic Law Goes Global: Legal Traditions and International Courts*. Cambridge, UK: Cambridge University Press.
- Neumayer, Eric. 2005. "Do International Human Rights Treaties Improve Respect for Human Rights?" *Journal of Conflict Resolution* 49(6): 925-953.
- Powell, Emilia J. and Jeffrey K. Staton. "Domestic Judicial Institutions and Human Rights Treaty Violation." *International Studies Quarterly* 53(1): 149-174.
- Risse, Thomas, Stephen Ropp, and Kathryn Sikkink. 1999. *The Power of Human Rights: International Norms and Domestic Change*. New York: Cambridge University Press.
- Sikkink, Kathryn. 1993. "Human Rights, Principled Issue-Networks, and Sovereignty in Latin America." *International Organization* 47: 411-441.
- Simmons, Beth A. 2009. *Mobilizing for Human Rights: International Law in Domestic Politics*. New York: Cambridge University Press.
- Simmons, Beth A. and Allison Danner. 2010. "Credible Commitments and the International Criminal Court." *International Organization* 62(1): 225-256.
- Wallace, Geoffrey P.R. 2013. "International Law and Public Attitudes Towards Torture: An Experimental Study." *International Organization* 67(1): 105-140.

## **Related Topics Not Covered**

### Regimes

- Cowhey, Peter F. 1990. "The International Telecommunications Regime: The Political Roots of Regimes for High Technology." *International Organization*, 44(2): 169-199.
- Donnelly, Jack. 1986. "International Human Rights: A Regime Analysis." *International Organization*, 40(4): 599-642.
- Franck, Thomas M. 1988. "Legitimacy and the International System." *American Journal of International Law*. 82(4): 705-759.
- Haggard, Stephan and Beth A. Simmons. 1987. "Theories of International Regimes." *International Organization*, 41(3): 491-517.
- Hasenclever, Andreas, Peter Mayer, and Volker Rittberger. 1997. *Theories of International Regimes*. Cambridge: Cambridge University Press.
- Jervis, Robert. 1982. "Security Regimes." *International Organization*, 36(2): 357-378.
- Keck, Margaret and Kathryn Sikkink. 1998. *Activists Beyond Borders: Advocacy Networks in International Politics*. Ithaca: Cornell University Press.
- Krasner, Stephen D (ed.). 1983. *International Regimes*. Ithaca: Cornell University Press.
- Krasner, Stephen D. 1982. "Structural Causes and Regime Consequences: Regimes as Intervening Variables." *International Organization*, 36(2): 325-355.
- Kratochwil, Friedrich and John Gerard Ruggie. 1986. "International Organization: A State of the Art on an Art of the State." *International Organization*, 40(4): 753-775.
- Keohane, Robert O. 1982. "The Demand for International Regimes." *International Organization*, 36(2): 185-205.
- Pratt, Tyler. 2018. "Deference and Hierarchy in International Regime Complexes." *International Organization* 72(3): 561-590.
- Rittberger, Volker. 1983. *Regimes Theory and International Relations*. Oxford: Clarendon Press.
- Ruggie, John G. 1982. "International Regimes, Transactions and Change: Embedded Liberalism in the Postwar Economic Order." *International Organization*, 36(2): 378-415.



- Strange, Susan. 1982. "Cave! Hic Dragones: A Critique of Regime Analysis." *International Organization*, 36(2): 303-334.
- Regional Integration
- Haas, Ernst B. 1958. *The Uniting of Europe: Political, Social, and Economic Forces, 1950-1957*. Stanford: Stanford University Press.
- Haas, Ernst B. 1976. "Turbulent Fields and the Theory of Regional Integration." *International Organization* 30(2): 173-212.
- Hooghe, Liesbet. 2005. "Several Roads Lead to International Norms, but Few via International Socialization: A Case Study of the European Commission." *International Organization* 59: 861-898.
- Mattli, Walter. 1999. *The Logic of Regional Integration*. New York: Cambridge University Press.
- Moravcsik, Andrew. 1991. "Negotiating the Single European Act: National Interests and Conventional Statecraft in the European Community." *International Organization* 45(1): 19-56.
- Schimmelfennig, Frank. 2003. *The EU, NATO, and the Integration of Europe: Rules and Rhetoric*. Cambridge: Cambridge University Press.
- Tsebelis, George and Geoffrey Garrett. 2001. "The Institutional Foundations of Intergovernmentalism and Supranationalism in the European Union." *International Organization* 55(2): 357-390.
- Other Work on International Security Institutions
- Altfeld, Michael. 1984. "The Decision to Ally: A Theory and Test." *Western Political Quarterly*, 37(4): 523-544.
- Bennett, D. Scott. 1997. "Testing Alternative Models of Alliance Duration, 1816-1984." *American Journal of Political Science*, 41(3): 846-878.
- Christensen, Thomas J. and Jack Snyder. 1990. "Chain Gangs and Passed Bucks: Predicting Alliance Patterns in Multipolarity." *International Organization*, 44(2): 137-168.
- Gibler, Douglas M. 1999. "An Extension of the Correlates of War Formal Alliance Data Set, 1648-1815." *International Interactions*, 25(1): 1-28.
- Gibler, Douglas M. 2000. "Alliances: Why Some Cause War and Others Cause Peace," pages 145-164 in John A. Vasquez, ed. *What Do We Know About War?* Rowman and Littlefield.
- Gibler, Douglas M. and John A. Vasquez. 1998. "Uncovering the Dangerous Alliances, 1495-1980." *International Studies Quarterly*, 42(4): 785-807.
- Holsti, Ole R., P. Terrance Hopmann, and John D. Sullivan. 1973. *Unity and Disintegration in International Alliances*. New York: Wiley.
- Kim, Woosang. 1989. "Power, Alliance, and Major Wars, 1816-1975." *Journal of Conflict Resolution*, 33(2): 255-274.
- Lai, Brian and Dan Reiter. 2000. "Democracy, Political Similarity, and International Alliances, 1816-1992." *Journal of Conflict Resolution*, 44(2): 203-227.
- Lalman, David and David Newman. 1991. "Alliance Formation and National Security." *International Interactions*, 16(4): 239-253.
- Leeds, Brett Ashley, Andrew G. Long, and Sara McLaughlin Mitchell. 2000. "Reevaluating Alliance Reliability: Specific Threats, Specific Promises." *Journal of Conflict Resolution*, 44(5):686-699.
- Levy, Jack. 1981. "Alliance Formation and War Behavior: An Analysis of the Great Powers, 1495-1975." *Journal of Conflict Resolution*, 25(4): 581-613.
- Liska, George. 1962. *Nations in Alliance: The Limits of Interdependence*. Baltimore: Johns Hopkins University Press.
- Midlarsky, Manus. 1988. *The Onset of World War*. Boston: Allen and Unwin. (Chapter 9)
- Morrow, James D. 1991. "Alliances and Asymmetry: An Alternative to the Capability Aggregation Model of Alliances." *American Journal of Political Science*, 35(4): 904-933.

- Morrow, James D. 1993. "Arms Versus Allies: Trade-offs in the Search for Security." *International Organization*, 47(2): 207-233.
- Morrow, James D. 1994. "Alliances, Credibility, and Peacetime Costs." *Journal of Conflict Resolution*, 38(2): 270-297.
- Olson, Mancur. 1965. *The Logic of Collective Action*. New York: Schocken.
- Ostrom, Charles W. and Frank W. Hoole. 1978. "Alliances and War Revisited." *International Studies Quarterly*, 22(2): 215-236.
- Palmer, Glenn and J. Sky David. 1999. "Multiple Goals or Deterrence: A Test of Two Models in Nuclear and Nonnuclear Alliances." *Journal of Conflict Resolution*, 43(6): 748-770.
- Ray, James Lee. 1990. "Friends as Foes: International Conflict and Wars Between Formal Allies," in Charles Gochman and Alan Sabrosky (eds.), *Prisoners of War? Nation-States in the Modern Era*. Lexington: D.C. Heath.
- Sabrosky, Alan N. 1980. "Alliance Aggregation, Capability Distribution, and the Expansion of Interstate War," in J. David Singer (ed.), *The Correlates of War II: Testing Some Realpolitik Models*. New York: Free Press.
- Sabrosky, Alan. 1980. "Interstate Alliances: Their Reliability and the Expansion of War," in J. David Singer (ed.), *The Correlates of War II: Testing Some Realpolitik Models*. New York: Free Press.
- Sandler, Todd. 1993. "The Economic Theory of Alliances: A Survey." *Journal of Conflict Resolution*, 37(3): 446-483.
- Signorino, Curtis S. and Jeffrey M. Ritter. 1999. "Tau-B or Not Tau-B: Measuring the Similarity of Foreign Policy Positions." *International Studies Quarterly*, 43(1): 115-144.
- Simon, Michael W. and Erik Gartzke. 1996. "Political System Similarity and the Choice of Allies." *Journal of Conflict Resolution*, 40: 617-635.
- Singer, J. David and Melvin Small. 1966. "Formal Alliances, 1815-1939: A Quantitative Description." *Journal of Peace Research*, 3: 1-31. (Contains a bibliographic list for each alliance in this time period)
- Singer, J. David and Melvin Small. 1966. "Alliance Aggregation and the Onset of War, 1815-1945," in J. David Singer (ed.), *Quantitative International Politics: Insights and Evidence*. New York: Free Press.
- Siverson, Randolph M. and Juliann Emmons. 1991. "Birds of a Feather: Democratic Political Systems and Alliance Choices in the Twentieth Century." *Journal of Conflict Resolution*, 35: 285-306.
- Siverson, Randolph and Joel King. 1980. "Attributes of National Alliance Membership and War Participation, 1815-1965." *American Journal of Political Science*, 24(1): 1-15.
- Siverson, Randolph M. and Harvey Starr. 1994. "Regime Change and the Restructuring of Alliances." *American Journal of Political Science*, 38(1): 145-161.
- Smith, Alastair. 1995. "Alliance Formation and War." *International Studies Quarterly* 39: 405-425.
- Smith, Alastair. 1996. "To Intervene or not to Intervene." *Journal of Conflict Resolution*, 40(1): 16-40.
- Snyder, Glenn H. 1991. "Alliances, Balance, and Stability." *International Organization*, 45: 121-142.
- Snyder, Glenn H. 1984. "The Security Dilemma in Alliance Politics." *World Politics* 36: 461-495.
- Walt, Stephen M. 1987. *The Origins of Alliances*. Ithaca: Cornell University Press.
- Wayman, Frank Whelon. 1990. "Alliances and War: A Time Series Analysis," in Charles Gochman and Alan Sabrosky (eds.), *Prisoners of War? Nation-States in the Modern Era*. Lexington: D.C. Heath.
- Ward, Michael D. 1982. "Research Gaps in Alliance Dynamics." *Monograph Series in World Affairs* 19(1). Denver: Graduate School of International Affairs, University of Denver.

- Weber, Katja. 1997. "Hierarchy Amidst Anarchy: A Transaction Cost Approach to International Security Cooperation." *International Studies Quarterly* 41: 321-340.
- Domestic Politics and Cooperation
- Chapman, Terrence. 2009. "Audience Beliefs and International Organization Legitimacy." *International Organization* 63(4): 733-764.
- Fang, Songying. 2008. "The International Role of International Institutions and Domestic Politics." *American Journal of Political Science* 52(2): 304-321.
- Putnam, Robert. 1988. "Diplomacy and Domestic Politics: The Logic of Two Level Games." *International Organization* 42(3): 427-460.
- McGillivray, Fiona and Alastair Smith. 2008. *Punishing the Prince*. Princeton University Press.
- Schultz, Kenneth A. 2005. The Politics of Risking Peace: Do Hawks or Doves Deliver the Olive Branch? *International Organization* 59(1): 1-38.
- Environmental Institutions
- Mitchell, Ronald B. 1994. "Regime Design Matters: Intentional Oil Pollution and Treaty Compliance." *International Organization*, 48(3): 425-458.
- Young, Oran R. and Marc A. Levy. 1999. "The Effectiveness of International Environmental Regimes" in Oran R. Young (ed.), *Effectiveness of International Environmental Regimes: Causal Connections and Behavioral Mechanisms*. Cambridge, MA: MIT Press. Pp. 1-32.
- Ward, Hugh. 2006. "International Linkages and Environmental Sustainability: The Effectiveness of the Regime Network." *Journal of Peace Research* 43(2): 149-166.
- von Stein, Jana. "The International Law and Politics of Climate Change: Ratification of the United Nations Framework Convention and the Kyoto Protocol." *Journal of Conflict Resolution* 52(2): 243-268.
- Brochmann, Marit. 2012. "Signing River Treaties: Does it Improve Cooperation? *International Interactions* 38(2): 141-163.
- Mitchell, Sara McLaughlin and Neda A. Zawahri. 2015. "The Effectiveness of Treaty Design in Addressing Water Disputes." *Journal of Peace Research* 52(2): 187-200.
- Bernauer, Thomas, Anna Kalbhenn, Vally Koubi, and Gabriele Spilker. 2013. "Is there a 'Depth versus Participation' Dilemma in International Cooperation? *The Review of International Organizations* 8(4): 477-497.
- Bernauer, Thomas. 1995. "The Effect of International Environmental Institutions: How We Might Learn More." *International Organization* 49: 351-377.
- Downs, George. 2000. "Constructing Effective Environmental Regimes." *Annual Review of Political Science* 3: 25-42.
- Helm, Carsten and Detlef Sprinz. 2000. "Measuring the Effectiveness of International Environmental Regimes." *Journal of Conflict Resolution* 44(5): 630-652.
- Hensel, Paul R., Sara McLaughlin Mitchell, and Thomas E. Sowers II. 2006. "Conflict Management of Riparian Disputes." *Political Geography* 25: 383-411.
- Jacobson, Harold and Edith Brown Weiss. 1995. "Strengthening Compliance with International Environmental Accords." *Global Governance* 1(2): 119-149.
- Mitchell, Ronald. 1994. *Intentional Oil Pollution at Sea: Environmental Policy and Treaty Compliance*. Cambridge, MA: MIT Press.
- Mitchell, Ronald and Patricia Keilbach. 2001. "Situation, Structure, and Institutional Design: Reciprocity, Coercion, and Exchange." *International Organization* 55(4): 891-917.
- Ringquist, Evan and Tatiana Kostadinova. 2005. "Assessing the Effectiveness of International Environmental Agreements: The Case of the 1985 Helsinki Protocol." *American Journal of Political Science* 49(1): 86-102.
- Young, Oran R. 1999. *Governance in World Affairs*. Ithaca, N.Y.: Cornell University Press.
- Young, Oran R. (ed.) 1999. *The Effectiveness of International Environmental Regimes: Causal Connections and Behavioral Mechanisms*. Cambridge, MA: MIT Press.

## College of Liberal Arts and Sciences Information and Policies for Undergraduates

**Absences and Attendance** Students are responsible for attending class and for contributing to the learning environment of a course. Students are also responsible for knowing the absence policies for their courses, which will vary by instructor. All absence policies, however, must uphold the UI policy related to student illness, mandatory religious obligations, unavoidable circumstances, or University authorized activities (<https://clas.uiowa.edu/students/handbook/attendance-absences>). Students may use this absence form to communicate with instructors:

<https://clas.uiowa.edu/sites/default/files/ABSENCE%20EXPLANATION%20FORM2019.pdf>

**Academic Integrity** All undergraduates enrolled in courses offered by CLAS have, in essence, agreed to the College's *Code of Academic Honesty*. Misconduct is reported to the College, resulting in suspension or other sanctions, with sanctions communicated with the student through the UI email address (<https://clas.uiowa.edu/students/handbook/academic-fraud-honor-code>).

**Accommodations for Disabilities** UI is committed to an educational experience that is accessible to all students. A student may request academic accommodations for a disability (such as mental health, attention, learning, vision, and physical or health-related condition) by registering with Student Disability Services (SDS). The student is then responsible for discussing specific accommodations with the instructor. More information is at <https://sds.studentlife.uiowa.edu/>.

**Administrative Home of the Course** The College of Liberal Arts and Sciences (CLAS) is the administrative home of this course and governs its add/drop deadlines, the second-grade-only option, and related policies. Other colleges may have different policies. CLAS policies may be found here:

<https://clas.uiowa.edu/students/handbook>.

**Communication and the Required Use of UI Email** Students are responsible for official correspondences sent to the UI email address (uiowa.edu) and must use this address for all communication within UI (*Operations Manual*, III.15.2).

**Complaints** Students with a complaint about a course should first visit with the instructor or course supervisor and then with the Chair of the department or program offering the course; students may next bring the issue to CLAS in 120 Schaeffer Hall. For more information, see <https://clas.uiowa.edu/students/handbook/student-rights-responsibilities>.

**Final Examination Policies** The final exam schedule is announced around the fifth week of classes; students are responsible for knowing the date, time, and place of a final exam. Students should not make travel plans until knowing this information. No exams of any kind are allowed the week before finals. Visit <https://registrar.uiowa.edu/final-examination-scheduling-policies>.

**Nondiscrimination in the Classroom** UI is committed to making the classroom a respectful and inclusive space for all people irrespective of their gender, sexual, racial, religious or other identities. Toward this goal, students are invited to optionally share their preferred names and pronouns with their instructors and classmates. The University of Iowa prohibits discrimination and harassment against individuals on the basis of race, class, gender, sexual orientation, national origin, and other identity categories set forth in the University's Human Rights policy. For more information, contact the Office of Equal Opportunity and Diversity ([diversity.uiowa.edu](https://diversity.uiowa.edu)).

**Sexual Harassment** Sexual harassment subverts the mission of the University and threatens the well-being of students, faculty, and staff. All members of the UI community must uphold the UI mission and contribute to a safe environment that enhances learning. Incidents of sexual harassment must be reported immediately. For assistance, please see <https://osmrc.uiowa.edu/>.